#### PATENT APPLICATION

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q96134

Koji KUBO, et al.

Appln. No.: 10/587,163

Group Art Unit: 1752

Confirmation No.: 4858

Examiner: not yet assigned

Filed: July 26, 2006

For: LAMINATED FILM FOR DYE-SENSITIZED SOLAR CELL AND ELECTRODE FOR

DYE-SENSITIZED SOLAR CELL, AND PROCESS FOR THEIR PRODUCTON

#### SUBMISSION OF IPER

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Submitted herewith for the Examiners consideration is a copy of the IPER in the corresponding PCT application.

Respectfully submitted,

Registration No. 24,513

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Date: April 2, 2008

### PATENT COOPERATION TREATY

TRANSLATION INTERNATIONAL SEARCHING AUTHORITY To: WRITTEN OPINION OF THE INTERNATIONAL SEARCHING AUTHORITY (PCT Rule 43bis.1) Date of mailing (day/month/year) Applicant's or agent's file reference FOR FURTHER ACTION TD-541 See paragraph 2 below International application No. International filing date (day/month/year) Priority date (day/month/year) PCT/JP2005/001641 28.01.2005 30.01.2004 International Patent Classification (IPC) or both national classification and IPC Applicant TEIJIN DUPONT FILMS JAPAN LIMITED This opinion contains indications relating to the following items: Box No. I Basis of the opinion Box No. II Priority Non-establishment of opinion with regard to novelty, inventive step and industrial applicability Box No. III Box No. IV Lack of unity of invention Reasoned statement under Rule 43bis.1(a)(i) with regard to novelty, inventive step or industrial Box No. V applicability; citations and explanations supporting such statement Box No. VI Certain documents cited Box No. VII Certain defects in the international application Box No. VIII Certain observations on the international application **FURTHER ACTION** If a demand for international preliminary examination is made, this opinion will be considered to be a written opinion of the International Preliminary Examining Authority ("IPEA") except that this does not apply where the applicant chooses an Authority other than this one to be the IPEA and the chosen IPEA has notified the International Bureau under Rule 66.1bis(b) that written opinions of this International Searching Authority will not be so considered. If this opinion is, as provided above, considered to be a written opinion of the IPEA, the applicant is invited to submit to the IPEA a written reply together, where appropriate, with amendments, before the expiration of 3 months from the date of mailing of Form PCT/ISA/220 or before the expiration of 22 months from the priority date, whichever expires later. For further options, see Form PCT/ISA/220. For further details, see notes to Form PCT/ISA/220. Name and mailing address of the ISA/JP Authorized officer Facsimile No. Telephone No.

International application No.

PCT/JP2005/001641

Во	x No. I	Basis of this opinion
1.	With filed	regard to the language, this opinion has been established on the basis of the international application in the language in which it was unless otherwise indicated under this item.
		This opinion has been established on the basis of a translation from the original language into the following language , which is the language of a translation furnished for the purposes of international search (under
		Rule 12.3 and 23.1(b)).
2.		regard to any nucleotide and/or amino acid sequence disclosed in the international application and necessary to the claimed attorn, this opinion has been established on the basis of:
	a.	type of material
	1	a sequence listing
	!	table(s) related to the sequence listing
	b.	format of material
	1	in written format
İ		in computer readable form
	c.	time of filing/furnishing
		contained in the international application as filed.
	-	filed together with the international application in computer readable form.
	Ţ	furnished subsequently to this Authority for the purposes of search.
3.		In addition, in the case that more than one version or copy of a sequence listing and/or table(s) relating thereto has been filed or furnished, the required statements that the information in the subsequent or additional copies is identical to that in the application as filed or does not go beyond the application as filed, as appropriate, were furnished.
4.	Addit	ional comments:

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Box No. IV Lack of unity of	invention			
1. In response to the invitation paid additional fees	ion (Form PCT/ISA/206) to pay a	dditional fees the applicant has:		
paid additional fees				
2. This Authority found the additional fees.	nt the requirement of unity of in	vention is not complied with and	chose not to invite the	applicant to pay
This Authority considers that the complied with	ne requirement of unity of inventi-	on in accordance with Rules 13.1,	13.2 and 13.3 is	
not complied with for the  See the supplement				
			·	
4. Consequently, this opinion has be all parts	peen established in respect of the	following parts of the internationa	l application:	
the parts relating to claims	s Nos.			

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Box	No. V			ale 43bis.1(a)(i) with regard to novelty, inventive step or industrial applicability; poorting such statement	
1.	Statement				
	Novelty	(N)	Claims	1-13	YES
			Claims		NO
	Inventive	e step (IS)	Claims	1-13	YES
			Claims		NO
	Industria	l applicability (IA)	Claims	1-13	YES
			Claims	<u></u>	NO
i i					

#### 2. Citations and explanations:

[List of documents] All of the following documents are cited in the ISR.

Document 1: JP, 11-288745, A (Nikon Corp.), 19 October, 1999 (19.10.99), claims 1-7 and examples (Family: none)

Document 2: JP, 2002-50413, A (Japan Gore-Tex Inc.), 15 February, 2002 (15.02.02), claims 1-7 and paragraph [0016] (Family: none)

Document 3: JP, 57-57628, A (Teijin Ltd.), 6 April, 1982 (06.04.82), full description (Family: none)

Document 4: JP, 1-275031, A (Teijin Ltd.), 2 November, 1989 (02.11.89), full description (Family: none)

Document 5: JP, 1-259184, A (Gunze Ltd.), 16 October, 1989 (16.10.89), claims 1-8 and detailed explanation of the invention as a whole (Family: none)

Document 6: JP, 8-148036, A (Nitto Denko Corp.), 7 June, 1996 (07.06.96), claims 1-5 (Family: none)

Document 7: JP, 2000-31509, A (Dainippon Printing Co., Ltd.), 28 January, 2000 (28.01.00), claims 1-3 and example 1 (Family: none)

Document 8: JP, 2002-145615, A (Japan Science and Technology Corp.), 22 May, 2002 (22.05.02), claims 1-3 and examples (Family: none)

Document 9: JP, 6-207036, A (Toyobo Co., Ltd.)26 July, 1994 (26.07.94), claim 1, and paragraphs [0021] and [0023] (Family: none)

#### [Explanation]

Novelty and inventive steps of claims 1-10 are not denied in view of any description in aforementioned documents 1-9. Regarding a transparent conductive film to be an electrode substrate of a dye-sensitized solar cell, it is not described nor suggested in any of documents that a polyester film having a specified surface tension should be used, and an effect of employment of the said specified matter, which is described in the description, is not described nor suggested in any of the said documents.

Novelty and inventive steps of claims 11-13 are not denied in view of any description in

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Box No. V	Reasoned star citations and	tement under F explanations st	Rule 43bis.1(a)(i) apporting such s	with regar	d to novelty, inv	rentive step	or industrial ap	plicability;	
an elect film ha	aforementioned documents 1-9. In any of documents, regarding a transparent conductive film to be an electrode substrate of a dye-sensitized solar cell, it is not described nor suggested that a polyester film having a particular thermal shrinkage condition should be used, and an effect of employment of the said specified matter, which is described in the description, is not described nor suggested.								
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Box No. VI Certain documents cited	•					
1. Certain published documents (Rule 43bis.1 and 70.10)						
Application No. Patent No.	Publication date (day/month/year)	Filing date (day/month/year)	Priority date (valid claim) (day/month/year)			
JP 2004-265662 A [E, A]	24.09.2004	28.02.2003				
JP 2005-56627 A [E, A]	03.03.2005	31.07.2003				
JP 2004-9362 A [E, A]	15.01.2004	04.06.2002				

Non-wr	itten disclosures (Rule 43b	is.1 and 70.9)			
	Kind of non-written disclosure		Date of non-written dise (day/month/year)	Date of written disclosure referring to non-written disclosur (day/month/year)	
		4			

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Supplemental Box

In case the space in any of the preceding boxes is not sufficient.

IV

In order for a group of inventions claimed in claims to satisfy the requirement of unity of invention, there must be special technical features for linking the group of inventions so as to form a single general inventive concept. In this connection, the inventions of two independent claims consisting of claim 1 and 11 recited in independent form and claims dependent there from are linked with each other only in relating to a "dye-sensitized solar cell comprising a polyester film and, superimposed on one major surface thereof, a transparent conductive layer." However, it is apparent that the dye-sensitized solar cell per se cannot be a special technical feature.

Furthermore, the relationship between the film (substance) of claim 1 and the production process of claim 11 will be studied. Even after thorough review of the whole description, although the production process of claim 11 is for obtaining of an electrode for dye-sensitized solar cell excelling in adhesion between transparent conductive layer and porous semiconductor layer (seen from what is described on page 10 of the description, etc.), it does not appear that the production process is for satisfying of specified conditions as a surface tension of transparent conductive layer that is specified in claim 1 (as treatment for attaining specified surface tension conditions, plasma treatment and some other means are explicitly described on page 7 of the description). From the above study, it does not appear that the invention of "production process" claimed in claim 11 is a process for producing the "substance" according to the invention of claim 1.

Consequently, among the group of inventions claimed in claims 1-11, there exist no special technical features for linking them so as to form a single general inventive concept. Therefore, it is apparent that the group of inventions claimed in claims 1-13 do not satisfy the requirement of unity of invention.

In summing up, without the need to study claims recited in dependent form, it appears that claims 1-13 cover at least two inventions.

One of possible ways to satisfy unity is clarifying that the invention of claim 11 shares a specified matter of claim 1.

#### **Documents**

- 1. JP, 11-288745, A (Nikon Corp.), 19 October, 1999 (19.10.99)
- 2. JP, 2002-50413, A (Japan Gore-Tex Inc.), 15 February, 2002 (15.02.02)
- 3. WO, 2002-052654, A1 (Kabushiki Kaisha Hayashibara Seibutsu Kagaku Kenkyujo), 4 July, 2002 (04.07.02)
  - 4.JP, 2002-280327, A (Lintec Corp.), 27 September, 2002 (27.09.02)
  - 5. JP, 2003-68373, A (Aisin Seiki Co., Ltd.), 7 March, 2003
  - 6. JP, 2003-234028, A (Konica Corp.), 22 August, 2003 (22.08.03)
  - 7. JP, 2003-308893, A (Bridgestone Corp.), 31 October, 2003 (31.10.03)